

10/24/01

U.S. PTO  
921  
U.S. PTO

Attorney's Docket No. 2000DE133

☐ Duplicate  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

jjc971 U.S. PTO  
 10/001777  
  
 10/24/01

# NEW UTILITY PATENT APPLICATION TRANSMITTAL

Sir:

Transmitted for filing on October 24, 2001 is the patent application of

**Inventors: Martin U. SCHMIDT  
Peter KEMPTER  
Carsten PLUEG  
Roland BORN**

**For: MIXED CRYSTALS OF BENZIMIDAZOLONEDIOXAZINE COMPOUNDS**

1. This new application is for a(n)  
☒ Original (non-provisional)  
☐ Divisional  
☐ Continuation  
☐ Continuation-in-Part
2. Papers enclosed that are required for filing date under 37 CFR 1.53(b) (Regular)  
24 Pages of Specification and Claims (18 in number)  
1 Page of Abstract  
0 sheet of drawing
3. Additional papers enclosed:  
☐ Preliminary Amendment  
☐ Information Disclosure Statement  
☐ Form PTO-1449  
☐ References Cited
4. Declaration or Oath:  
☐ Enclosed - EXECUTED BY INVENTORS  
☒ Not Enclosed

5. An assignment of the invention from the inventors to Clariant GmbH will follow.
6. The certified copy of the application from which priority is claimed is enclosed.

Country	Application No.	Filed
Federal Republic of Germany	100 52 858.9	October 24, 2000

7. Fee calculation

<b><u>CLAIMS AS FILED</u></b>					
<b>Basic Filing Fee</b>					<b>\$ 740.00</b>
<b>Number Filed</b>		<b>NumberExtra</b>		<b>Rate</b>	
Total Claims: 18		Minus 20	= 0	X \$ 18.00	- 0 -
Independent Claims: 1		Minus 3	= 0	X \$ 84.00	- 0 -
Multiple Dependent Claims (if any)				+\$280.00	- 0 -
<b>TOTAL FEE DUE</b>					<b>\$740.00</b>

- ☐ Amendment canceling extra claims is enclosed.
- ☐ Amendment deleting multiple-dependencies is enclosed.
- ☐ Fee for extra claims is not being paid at this time.

**Filing Fee Calculation**

**\$740.00**

8. Fee Payment Being Made at this Time

☐ Not enclosed -- No filing fee is to be paid at this time.

☒ Enclosed

☒ Basic Filing Fee

\$740.00

☐ Assignment Recordation Fee

0.00

**Total Fees Enclosed**

**\$740.00**

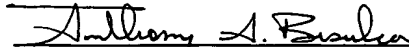
9. Method of Payment

☒ The total filing fee calculated above is authorized to be charged to Deposit Account No. 03-2060 for which purpose a duplicate copy of this cover sheet is attached.

10. Authorization to charge additional fees/instructions as to overpayment

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (application filing fees) or 37 CFR 1.17 (application processing fees) during the prosecution of this application, including any related application claiming benefit hereof pursuant to 35 USC §120 (e.g., continuations, divisionals and/or CIPs under 37 CFR §1.53(b) and/or continuations, divisionals and/or CIPs under 37 CFR §1.53(b)) to maintain pendency hereof of any such related application.

Respectfully submitted,

  
Anthony A. Bisulca  
Registration No. 40,913

**(CUSTOMER NUMBER 25,255)**

Clariant Corporation  
Industrial Property Department  
4331 Chesapeake Drive  
Charlotte, North Carolina 28216  
Telephone: 704/395-6701  
Facsimile: 704/395-6727

**CERTIFICATION UNDER 37 CFR 1.10**

Express Mail Mailing Label Number: ET404016687US

Date of Mailing: October 24, 2001

I hereby certify that on the date indicated above, this new U.S. patent application and the papers indicated as enclosed therein, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231, in accordance with 37 CFR 1.10.

  
Signature of Person Mailing the Application

Vicki L. Sgro  
Typed Name of Person Mailing the Application

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Express Mail Mailing Label Number: **ET404016687US**

Date of Mailing: October 24, 2001

ET 404016687 US

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that on the date indicated above, this NEW UTILITY PATENT APPLICATION TRANSMITTAL and the papers indicated as enclosed therein, of:

**Inventors: Martin U. SCHMIDT  
Peter KEMPTER  
Carsten PLUEG  
Roland BORN**

**For: MIXED CRYSTALS OF BENZIMIDAZOLONEDIOXAZINE  
COMPOUNDS**

is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to the: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231, in accordance with 37 CFR 1.10.

Vicki L. Sgro



10001777 102401